

PATENT

Case Docket No. ORQIS.014A

Date: September 22, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Steven F. Bolling et al.
Appl. No. : 10/686,040
Filed : October 15, 2003
For : IMPLANTABLE HEART
ASSIST SYSTEM AND
METHOD OF APPLYING
SAME
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 22, 2004
(Date)
Andrew M. Douglas
Andrew M. Douglas, Reg. No. 81,212

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on 9/17/04, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with two hundred twenty one (221) listed references with 43 enclosed.
- (X) Fees as calculated below:

PATENT

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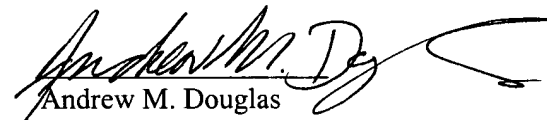
Date: September 22, 2004

FILING FEE		\$ 907
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$
SURCHARGE 37 CFR 1.16(e)		\$ + ~~65~~
TOTAL OF ABOVE CALCULATIONS		\$ 972
TOTAL FEES SUBMITTED HEREWITH		\$ 972

(X) A check in the amount of \$972 to cover the above fees is enclosed.

The present application qualifies for small entity status under 37 C.F.R. § 1.27

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


Andrew M. Douglas
Registration No. 51,212
Attorney of Record
Customer No. 20,995
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/686,040	10/15/2003	Steven F. Bolling	ORQIS.014A

20995
KNOBBE MARTENS OLSON & BEAR LLP
2040 MAIN STREET
FOURTEENTH FLOOR
IRVINE, CA 92614

CONFIRMATION NO. 6416

FORMALITIES LETTER



OC000000013829666

Date Mailed: 09/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$522 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$972 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

09/24/2004 ZJUHR1 00000071 10686040

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2202	522.00 OP

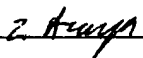
BEST AVAILABLE COPY

- Total additional claim fee(s) for this application is \$522

- \$522 for 58 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE